



IN THE UNITED STATES PATENT
AND TRADEMARK OFFICE

Applicants:

Gabel Chong and Achintya Bhowmik

Serial No.: 10/014,365

Filed: December 11, 2001

For: Three-Dimensional Tapered
Optical Waveguides and Methods of
Manufacture Thereof

Group Art Unit: 2874

Examiner: To be assigned

) I hereby certify that this paper
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20231 on this date:

March 29, 2002

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INFORMATION DISCLOSURE STATEMENT

Commissioner for Patents
Washington, D.C. 20231

Sir:

The patents and publications listed on the enclosed PTO Form-1449 are submitted pursuant to 37 CFR §§ 1.56, 1.97, and 1.98. Copies of the patents and publications are enclosed as necessary.

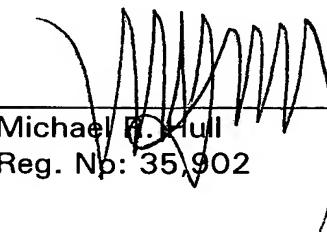
This information disclosure statement is being filed to the best of the undersigned's knowledge, before the mailing date of a first Office Action on the merits. In accordance with 37 CFR §1.97(b), no certification or fee is required.

The Commissioner is authorized to charge any fee deficiency required by this paper, or credit any overpayment, to Deposit Account No. 13-2855.

Respectfully submitted,

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March 29, 2002